

## Chapter 3

# Commutative Rings and Modules

### 3.1 Chain Conditions

(VIII.1) In this section,  $R$  is always a ring with identity (not necessary commutative) unless otherwise specified.

**Def.** A module  $A$  is said to satisfy the **ascending chain condition (ACC) on submodules** or to be **Noetherian** if for every ascending chain of submodules of  $A$ :

$$A_1 \subset A_2 \subset A_3 \subset \cdots$$

there is an integer  $n$  such that  $A_n = A_{n+1} = A_{n+2} = \cdots$ .

**Def.** A module  $B$  is said to satisfy the **descending chain condition (DCC) on submodules** or to be **Artinian** if for every descending chain of submodules of  $B$ :

$$B_1 \supset B_2 \supset B_3 \supset \cdots$$

there is an integer  $n$  such that  $B_n = B_{n+1} = B_{n+2} = \cdots$ .

**Ex.** As  $\mathbf{Z}$ -modules:

1.  $\mathbf{Z}$  is Noetherian but not Artinian.
2.  $\mathbf{Z}_m$  is both Noetherian and Artinian. In general, a finite abelian group is both Noetherian and Artinian.
3.  $\mathbf{Q}$  is neither Noetherian nor Artinian.
4. Given a prime number  $p$ , the  $\mathbf{Z}$ -module

$$\mathbf{Z}(p^\infty) := \{\overline{a/b} \in \mathbf{Q}/\mathbf{Z} \mid a, b \in \mathbf{Z} \text{ and } b = p^i \text{ for some } i \geq 0\}$$

is Artinian but not Noetherian.

**Ex.** As a  $\mathbf{Q}$ -module,  $\mathbf{Q}$  is both Noetherian and Artinian. In general, a finite dimensional vector space  $V$  over a division ring  $D$  is both Noetherian and Artinian  $D$ -module.

**Def.** A ring  $R$  is **left Noetherian** if  $R$  satisfies ACC as a left  $R$ -module. The ring  $R$  is **Noetherian** if it is both left and right Noetherian.

Likewise for **left Artinian** and **Artinian** ring.

**Ex.** A division ring  $D$  is both a Noetherian ring and an Artinian ring.

**Ex.** Every commutative principal ideal ring is Noetherian (e.g. PIDs).

**Def.** A module  $A$  is said to satisfy the **maximum [resp. minimum] condition on submodules** if every nonempty set of submodules of  $A$  contains a maximal [resp. minimal] element with respect to set theoretical inclusion.

**Thm 3.1.** A module  $A$  satisfies ACC [resp. DCC] if and only if  $A$  satisfies the maximal [resp. minimal] condition on submodules.

**Thm 3.2.** Let  $0 \rightarrow A \xrightarrow{f} B \xrightarrow{g} C \rightarrow 0$  be a short exact sequence of modules. Then  $B$  satisfies ACC [resp. DCC] if and only if both  $A$  and  $C$  satisfy it.

*Proof.* 1. If  $B$  satisfies ACC, it is easy to show that  $A$  and  $C$  satisfy ACC.

2. If  $A$  and  $C$  satisfy ACC, we show that  $B$  satisfies ACC. Let  $B_1 \subset B_2 \subset \dots$  be a chain of submodules of  $B$ . Then  $B_1 \cap \text{Im } f \subset B_2 \cap \text{Im } f \subset \dots$  is a chain of submodules of  $\text{Im } f \simeq A$ , and  $g(B_1) \subset g(B_2) \subset \dots$  is a chain of submodules of  $C$ . For sufficiently large  $n$  we have  $B_n \cap \text{Im } f = B_{n+1} \cap \text{Im } f = \dots$  and  $g(B_n) = g(B_{n+1}) = \dots$ . Then

$$\frac{B_n + \text{Im } f}{\text{Im } f} = \frac{B_{n+1} + \text{Im } f}{\text{Im } f} = \dots$$

and thus  $B_n = B_{n+1} = \dots$ . □

**Cor 3.3.** If  $A$  is a submodule of  $B$ , then  $B$  satisfies ACC [resp. DCC] if and only if  $A$  and  $B/A$  satisfy it.

**Cor 3.4.** If  $A_1, \dots, A_n$  are modules, then  $A_1 \oplus \dots \oplus A_n$  satisfies ACC [resp. DCC] if and only if each  $A_i$  satisfies it.

**Thm 3.5.** If  $R$  is a left Noetherian [resp. Artinian] ring with identity, then every finitely generated unitary left  $R$  module  $A$  satisfies ACC [resp. DCC].

*Proof.* Use Corollaries 3.3 and 3.4. □

**Ex.** Every finitely generated module  $A$  over a PID  $R$  is Noetherian.

**Thm 3.6.** A module  $A$  satisfies ACC if and only if every submodule of  $A$  is finitely generated. In particular, a commutative ring  $R$  is Noetherian if and only if every ideal of  $R$  is finitely generated.

*Proof.*  $\implies$ : Let  $B \leq A$ . Let  $S$  be the set of all fin gen submods of  $B$ . Then  $S$  contains a maximum element, say  $C = \langle c_1, c_2, \dots, c_n \rangle$ . For every  $b \in B$ ,  $\langle b, c_1, \dots, c_n \rangle$  is in  $S$  and so  $\langle b, c_1, \dots, c_n \rangle \leq C$ . Therefore,  $b \in C$ . This shows that  $B = C$  is fin gen.

$\Leftarrow$ : Given a chain  $A_1 \subset A_2 \subset \cdots$  of submods of  $A$ ,  $\bigcup_{i=1}^{\infty} A_i$  is a submod of

$A$ . So  $\bigcup_{i=1}^{\infty} A_i = \langle a_1, \cdots, a_k \rangle$  for some  $a_j$ 's. There is a sufficiently large

$n$  such that  $a_j \in A_n$  for all  $j$ . Then  $A_n = A_{n+1} = \cdots = \bigcup_{i=1}^{\infty} A_i$ .

□

**Def.** A **normal series** for a mod  $A$  is a chain

$$A = A_0 \supset A_1 \supset A_2 \supset \cdots .$$

The **factors** of the series are the quotient mods  $A_i/A_{i+1}$ . The number of nontrivial factors in a series are called the **length**. Two series of  $A$  are **equivalent** if there is a one-to-one isomorphic correspondence between the nontrivial factors of these two series.

**Def.** A **composition series** for a unitary left  $R$ -module  $A$  is a series of  $R$ -modules  $A = A_0 \supset A_1 \supset \cdots \supset A_n = 0$  such that each factor  $A_k/A_{k+1}$  is a nonzero module with no proper submodules (i.e. a **simple module**).

**Thm 3.7.** Any two normal series of a mod  $A$  has equivalent refinement. Any two composition series of  $A$  are equivalent.

*Proof.* (skipped.)

□

**Thm 3.8.** A nonzero module  $A$  has a composition series if and only if  $A$  satisfies both ACC and DCC.

*Proof.*  $\Rightarrow$ : If  $A$  has a composition series of length  $n$ , then every chain of  $A$  has the length at most  $n$ .

$\Leftarrow$ : Suppose  $A$  satisfies ACC and DCC. For any  $C \leq A$ , denote  $S_C$  be the set of all submods  $B$  of  $C$  such that  $B \neq C$ . Then  $S_C \neq \emptyset$  when  $C \neq 0$ . Since  $A$  satisfies ACC, all submods of  $A$  satisfy ACC and thus satisfy the maximal conditions. Let  $A = A_0$ . Let

$$A_{k+1} = \begin{cases} \text{the maximal element of } S_{A_k}, & \text{if } A_k \neq 0; \\ 0, & \text{if } A_k = 0. \end{cases}$$

Then  $A = A_0 \supseteq A_1 \supseteq A_2 \supseteq \cdots$ . Since  $A$  satisfies DCC, there is  $n$  such that  $A_n = A_{n+1} = \cdots = 0$ . Verify that  $A_0 \supset A_1 \supset \cdots \supset A_n$  is a composition series of  $A$ .

□

## 3.2 Prime and Primary Ideals, Primary Decompositions

(VIII.2, VIII.3) All rings are commutative rings with identity. All modules are unitary modules.

**Ex.** If  $R$  is a PID, then every ideal  $I$  has the form  $(a)$  for  $a = p_1^{n_1} \cdots p_r^{n_r}$ . So  $I$  has the primary decomposition

$$I = (p_1^{n_1})(p_2^{n_2}) \cdots (p_r^{n_r}) = (p_1^{n_1}) \cap (p_2^{n_2}) \cap \cdots \cap (p_r^{n_r})$$

where  $(p_i^{n_i}) = (p_i)^{n_i}$  is a power of prime ideal.

In general, primary decompositions exist for certain ideals and submodules.

### 3.2.1 Radical and Primary Decompositions of Ideals

We define the radical of an ideal.

**Def.** Let  $I$  be an ideal of  $R$ . The **radical** (or **nilradical**) of  $I$  is

$$\text{Rad } I := \bigcap_{\substack{P \text{ prime} \\ P \supseteq I}} P.$$

The radical of the zero ideal is called the **nilradical of  $R$** .

**Ex.** In  $\mathbf{Z}$ ,  $\text{Rad}(12) = (2) \cap (3) = (6)$ .

The elements of  $\text{Rad } I$  can be described.

**Thm 3.9.**  $\text{Rad } I = \{r \in R \mid r^n \in I \text{ for some } n > 0\}$ .

*Proof.* If  $r^n \in I$  for some  $n > 0$ , then  $r^n \in P$  for every prime ideal  $P \supseteq I$ . So  $r \in P$  and thus  $r \in \text{Rad } I$ . Therefore,  $\{r \in R \mid r^n \in I\} \subseteq \text{Rad } I$ .

Conversely, suppose  $t^n \notin I$  for all  $n > 0$ . The set  $S = \{t^n \mid n \in \mathbf{Z}^+\}$  has no intersection with  $I$ . Let  $T_I$  be the set of all ideals that contain  $I$  and have no intersection with  $S$ . Then  $T_I \neq \emptyset$ . By Zorn's Lemma, there is a maximal element  $M$  in  $T_I$ . We claim that  $M$  is prime. Suppose there are ideals  $X$  and  $Y$  such that  $XY \subseteq M$  but  $X \not\subseteq M$  and  $Y \not\subseteq M$ . Then  $(X + M)(Y + M) \subseteq M$  and  $X + M \supsetneq M$ ,  $Y + M \supsetneq M$ . There exist  $t^m \in X + M$  and  $t^n \in Y + M$ . Then  $t^{m+n} \in (X + M)(Y + M) \subseteq M$ , which contradicts  $M \in T_I$ . So  $M$  is a prime ideal that contains  $I$ , and  $t \notin M$ . Therefore  $t \notin \text{Rad } I$ . □

**Ex.** The nilradical of  $R$  is:  $\text{Rad}(0) = \{r \in R \mid r^n = 0 \text{ for some } n > 0\}$ .

**Thm 3.10.** If  $I, I_1, I_2, \dots, I_n$  are ideals of  $R$ , then

1.  $\text{Rad}(\text{Rad} I) = \text{Rad} I$ ;
2.  $\text{Rad}(I_1 I_2 \cdots I_n) = \text{Rad}(\bigcap_{j=1}^n I_j) = \bigcap_{j=1}^n \text{Rad} I_j$ ;
3.  $\text{Rad}(I^m) = \text{Rad} I$ .

Maximal ideals and prime ideals are the most important ideals in commutative rings. The next important ideals are primary ideals.

**Def.** An ideal  $Q$  ( $\neq R$ ) in  $R$  is **primary** if for any  $a, b \in R$ :

$$ab \in Q \text{ and } a \notin Q \implies b^n \in Q \text{ for some } n > 0.$$

**Ex.** Every prime ideal is primary.

**Thm 3.11.**  $Q \triangleleft R$  is primary  $\implies P := \text{Rad} Q$  is prime. One says that  $Q$  is **primary for**  $P$ .

**Ex.** In  $\mathbf{Z}$ , let  $p$  be a prime, then the primary ideals  $(p), (p^2), (p^3), \dots$  are primary for  $(p)$ .

**Ex.** In  $\mathbf{Z}[x, y]$ , the ideals  $(x^2, y), (x^2, y^2), (x^2, y^3), \dots$ , are all primary ideals for the prime ideal  $(x, y)$  (exercise).

**Thm 3.12.** If  $Q_1, Q_2, \dots, Q_n$  are primary ideals for the prime ideal  $P$ , then  $\bigcap_{i=1}^n Q_i$  is also a primary ideal for  $P$ .

**Def.** An ideal  $I \triangleleft R$  has a **primary decomposition** if  $I = \bigcap_{i=1}^n Q_i$  with each  $Q_i$  primary. If no  $Q_i$  contains  $\bigcap_{j \neq i} Q_j$  and the radicals of the  $Q_i$  are all distinct, then the primary decomposition is said to be **reduced**.

**Thm 3.13.** If  $I \triangleleft R$  has a primary decomposition, then  $I$  has a reduced primary decomposition.

### 3.2.2 Primary Decompositions of Modules

The primary decomposition for ideals may be extended to modules.

**Def.** Let  $B$  be an  $R$ -module. A submodule  $A$  ( $\leq B$ ) is **primary** if

$$r \in R, b \notin A \text{ and } rb \in A \implies r^n B \subset A \text{ for some positive integer } n.$$

**Ex.** A primary ideal  $Q$  of  $R$  is primary as a submodule of  $R$ .

**Thm 3.14.** Let  $A$  be a primary  $R$ -submodule of  $B$ . Then

$$Q_A = \{r \in R \mid rB \subset A\}$$

is a primary ideal in  $R$ .

*Proof.* Suppose  $rs \in Q_A$  but  $s \notin Q_A$ . There is  $b \in B$  such that  $sb \notin A$ . However,  $r(sb) = (rs)b \in A$ . Then  $r^n B \subseteq A$  for some  $n$ , since  $A$  is primary. Thus  $r^n \in Q_A$  and  $Q_A$  is primary.  $\square$

In Theorem 3.14,

$$P = \text{Rad } Q_A = \{r \in R \mid r^n B \subset A \text{ for some } n > 0\}$$

is a prime ideal of  $R$ . We call  $A$  a  **$P$ -primary submodule of  $B$** .

**Def.** Let  $B$  be an  $R$ -module. A submodule  $C$  of  $B$  has a **primary decomposition** if  $C = \bigcap_{i=1}^n A_i$  with each  $A_i$  a  $P_i$ -primary submodule of  $B$  for some

prime ideal  $P_i$  of  $R$ . If no  $A_i$  contains  $\bigcap_{\substack{j=1 \\ j \neq i}}^n A_j$  and if the ideals  $P_1, \dots, P_n$  are all distinct, then the primary decomposition is **reduced**.

**Thm 3.15.** If a submodule  $B$  of  $C$  has a primary decomposition, then  $B$  has a reduced primary decomposition.

(Exercise. c.f. Theorem 3.13)

Which modules have primary decompositions? The following theorem provides a partial answer.

**Thm 3.16.** Let  $B$  be a Noetherian  $R$ -module. Then every submodule  $A$  ( $\neq B$ ) has a reduced primary decomposition.

Idea: If  $C$  is a maximal submodule that does not have a primary decomposition, then we find submodules  $B_k$  and  $D$  such that  $C = B_k \cap D$ , where  $B_k$  and  $D$  have primary decompositions. So  $C$  has a primary decomposition. A contradiction.

Sketch of proof: Let  $\mathcal{S}$  be the set of all submodules of  $B$  that do not have a primary decomposition. Suppose on the contrary,  $\mathcal{S} \neq \emptyset$ . Since  $B$  is Noetherian module, there is a maximal element  $C \in \mathcal{S}$ .  $C$  is not primary. There is  $r \in R$  and  $b \notin C$  such that  $rb \in C$  and  $r^n B \not\subseteq C$ . Denote  $B_n = \{x \in B \mid r^n x \in C\}$ . Then  $C \subsetneq B_1 \subset B_2 \subset \dots$ . There is  $k$  such that  $B_k = B_{k+1} = \dots$ . Denote the

submodule  $D = r^k B + C$ . Clearly  $C \subset B_k \cap D$ . Conversely, if  $x \in B_k \cap D$ , then  $x = r^k y + c$  for  $y \in B$  and  $c \in C$ . Then  $r^{2k} y + r^k c = r^k x \in C$ . So  $r^{2k} y \in C$  and thus  $y \in B_{2k} = B_k$  and thus  $x = r^k y + c \in C$ . This shows that,  $B_k \cap D \subset C$ . So  $C = B_k \cap D$ . Now  $C \neq B_k \neq B$  and  $C \neq D \neq B$ . By the maximality of  $C$  in  $\mathcal{S}$ ,  $B_k$  and  $D$  must have primary decompositions. So does  $C$ . A contradiction to  $C \in \mathcal{S}$ . Therefore,  $\mathcal{S} = \emptyset$ .

**Ex.** *If  $R$  is a Noetherian ring, then every submodule of a finitely generated  $R$ -module has a primary decomposition. In particular, every ideal of  $R$  has a primary decomposition.*

### 3.3 Noetherian Modules and Noetherian Rings

(VIII.4) All rings are commutative with identity. All modules are unitary.

On Noetherian Modules, we present Nakayama's Lemma; On Noetherian Rings, we prove that if  $R$  is a Noetherian ring then so are the polynomial rings  $R[x_1, \dots, x_n]$  and the power series ring  $R[[x]]$ .

#### 3.3.1 Noetherian Modules

The **annihilator** of an  $R$ -module  $B$  is the following ideal of  $R$ :

$$I = \text{Ann}(B) = \{r \in R \mid rb = 0 \text{ for all } b \in B\}.$$

If  $B = (X)$ , then  $\text{Ann}(B) = \bigcap_{x \in X} \text{Ann}(x)$ .

**Lem 3.17.** *Let  $I$  be the annihilator of a finitely generated  $R$ -module  $B$ . Then  $B$  is a Noetherian [resp. Artinian] module if and only if  $R/I$  is a Noetherian [resp. Artinian] ring.*

Sketch of proof (Noetherian part):

1. Suppose  $R/I$  is Noetherian. Then  $B$  may be viewed as a finitely generated  $R/I$ -module. So  $B$  is Noetherian.
2. If  $B$  is Noetherian. Suppose  $B = (b_1, \dots, b_n)$  and  $I_j = \text{Ann}(b_j)$ . Then  $I = \text{Ann}(B) = \bigcap_{i=1}^n I_j$ . There is a monomorphism

$$\theta : R/I \rightarrow \prod_{i=1}^n R/I_j = \bigoplus_{i=1}^n R/I_j$$

Note that  $R/I_j \simeq (b_j) \subset B$  is Noetherian. So  $\bigoplus_{i=1}^n R/I_j$  is Noetherian. So  $R/I$  is Noetherian.

**Lem 3.18** (Nakayama). *Let  $J$  be an ideal of a commutative ring  $R$  with identity. The following are equivalent:*

- (1)  $J$  is contained in every maximal ideal of  $R$ .
- (2)  $1_R - j$  is a unit for every  $j \in J$ .
- (3) If  $A$  is a finitely generated  $R$ -module and  $JA = A$ , then  $A = 0$ .
- (4) If  $B$  is a submodule of a finitely generated  $R$ -module  $A$  such that  $A = JA + B$ , then  $A = B$ .

Sketch of proof:

(1) $\Rightarrow$ (2): If  $1 - j$  is not a unit for some  $j \in J$ , then the ideal  $(1 - j) \neq R$  is contained in a maximal ideal  $M$ , then  $1 = (1 - j) + j \in M$ . A contradiction.

(2) $\Rightarrow$ (3): Suppose  $A = (a_1, \dots, a_n)$  where  $n$  is minimal, and  $A = JA$ . Then  $a_1 = j_1 a_1 + \dots + j_n a_n$  for some  $j_1, \dots, j_n \in J$ . Then  $(1 - j_1)a_1 = j_2 a_2 + \dots + j_n a_n$ . Since  $1 - j_1$  is a unit, we get  $a_1 = (1 - j_1)^{-1} j_2 a_2 + \dots + (1 - j_1)^{-1} j_n a_n$ . So  $A = (a_2, \dots, a_n)$ . Contradict with  $n$  minimal.

(3) $\Rightarrow$ (4):  $J(A/B) = A/B$ . So  $A/B = 0$  and thus  $A = B$ .

(4) $\Rightarrow$ (1): For every maximal ideal  $M$  of  $R$ ,  $M \subset JR + M \neq R$  (Otherwise  $M = R$ , a contradiction). So  $M = JR + M = J + M$  and thus  $J \subset M$ .

### 3.3.2 Noetherian Rings

A commutative ring  $R$  with identity is Noetherian if and only if the ideals of  $R$  satisfy the maximum condition, if and only if every ideal of  $R$  is finitely generated, if and only if every *prime ideal* of  $R$  is finitely generated (I.S.Cohen).

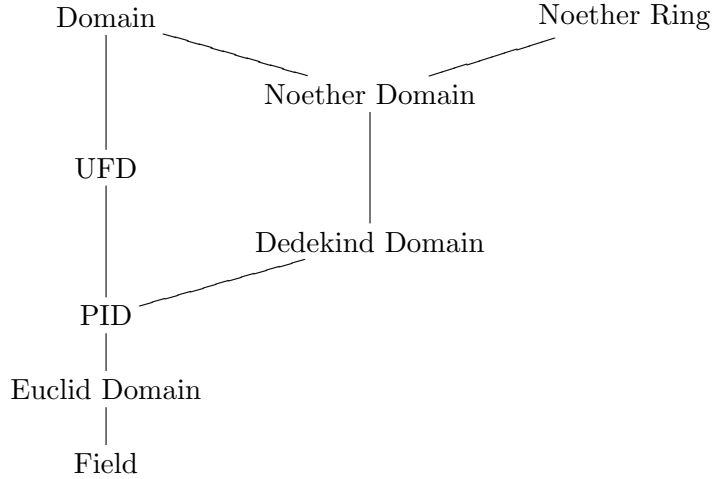
**Thm 3.19** (Hilbert Basis Theorem). *If  $R$  is a Noetherian ring, then so is  $R[x_1, \dots, x_n]$ .*

Sketch of proof: It suffices to show that  $R[x]$  is a Noetherian ring. That is, every ideal  $J \triangleleft R[x]$  is finitely generated. Given  $J \triangleleft R[x]$ , let  $I_n \subset R$  be the set of leading coefficients of polynomials of degree  $n$  in  $J$ . Then  $I_0 \subset I_1 \subset \dots$  is an ascending chain of ideals in  $R$ . There is  $k$  such that  $I_k = I_{k+1} = \dots$ . The finitely generated  $R$ -module  $P_k = R + Rx + \dots + Rx^k$  is Noetherian. So is the submodule  $P_k \cap J$ . Suppose  $P_k \cap J = f_1 R + \dots + f_m R$  for  $f_1, \dots, f_m \in J$ . Then  $J = f_1 R[x] + \dots + f_m R[x]$  is finitely generated.

**Thm 3.20.** *If  $R$  is Noetherian, then so is  $R[[x]]$ .*

(The proof is skipped.)

Some Facts about Commutative Rings with Identity:



1.  $F$  is a field  $\implies F[x]$  is a Euclidean domain.
2.  $R$  is a UFD  $\implies R[x_1, \dots, x_n]$  is a UFD.
3.  $R$  is a Noetherian ring  $\implies R[x_1, \dots, x_n]$  and  $R[[x]]$  are Noetherian rings.
4. An  $R$ -module  $A$  is a Noetherian module if and only if  $A$  is finitely generated and  $R/\text{Ann}(A)$  is a Noetherian ring. Every submodule of a Noetherian module has reduced primary decomposition.
5. A UFD may not be a PID (e.g.  $\mathbf{Z}[x]$ ).
6. A UFD may not be a Noetherian ring (e.g.  $R = \mathbf{C}[x_1, x_2, x_3, \dots]$ , the set of polynomials over  $\infty$  many variables  $x_1, x_2, \dots$ ).
7. A Noetherian integer domain may not be a UFD. (e.g.  $\mathbf{Z}[\sqrt{10}]$  is Noetherian. However,  $\mathbf{Z}[\sqrt{10}]$  is not a UFD, since  $6 = 3 \cdot 2 = (4 + \sqrt{10})(4 - \sqrt{10})$ .)

### 3.4 Dedekind Domains

(VIII.5, VIII.6)

#### 3.4.1 Ring Extension, Integral Elements

Let  $R$  and  $S$  be commutative rings with identity.

$$"S \text{ is a ring extension of } R" \iff R \leq S \text{ and } 1_R = 1_S.$$

**Def.**  $S \geq R$  ring ext.  $u \in S$  is said to be **integral over**  $R$ , if there is a monic polynomial  $f(x) \in R[x]$  such that  $f(u) = 0$ .

**Thm 3.21.**  $S \geq R$  ring ext,  $u \in S$ . The foll are equiv:

1.  $u$  is integral over  $R$ ,
2.  $R[u] = \{f(u) \mid f(x) \in R[x]\}$  is a fin gen  $R$ -mod,
3.  $u \in T$  for some fin gen ring ext  $T \geq R$ .

*Proof.*

1 $\Rightarrow$ 2: Suppose  $u^n + a_{n-1}u^{n-1} + \cdots + a_0 = 0$ ,  $a_i \in R$ . Then  $u^n = -a_{n-1}u^{n-1} - \cdots - a_0$ , and  $R[u] = R + Ru + \cdots + Ru^{n-1}$  is fin gen.

2 $\Rightarrow$ 3: Let  $T = R[u]$ .

3 $\Rightarrow$ 1: Suppose  $T = Rt_1 + \cdots + Rt_n$ . Every  $a \in T$  defines an  $R$ -mod endomorphism  $\varphi_a : T \rightarrow T$  by  $\varphi_a(t) := at$ . Suppose

$$\varphi_u(t_k) = r_{k1}t_1 + \cdots + r_{kn}t_n, \quad r_{ki} \in R, \quad i = 1, \dots, n, \quad k = 1, \dots, n.$$

Let  $A := [r_{ki}]_{n \times n}$ , and  $f(x) := \det(xI - A)$  the char polyn of  $A$ . Then  $f(x)$  is a monic polyn over  $R$ . Moreover,  $f(A) = 0$ , and so  $\varphi_{f(u)} = f(\varphi_u) = 0$ . So  $f(u) = \varphi_{f(u)}(1_R) = 0$  and  $u$  is integral over  $R$ .

□

**Thm 3.22.**  $S \geq R$  ring ext. All integral elements of  $S$  over  $R$  form a ring  $\widehat{R}$  with  $S \geq \widehat{R} \geq R$ .

*Proof.* Clearly  $R \leq \widehat{R}$ . If  $u, v \in \widehat{R}$ , then  $R[u]$  and  $R[v]$  are fin gen  $R$ -mods, say  $R[u] = u_1R + \cdots + u_mR$  and  $R[v] = v_1R + \cdots + v_nR$ . Then  $R[u, v] = (R[u])[v] = \sum_{i=1}^m \sum_{j=1}^n u_i v_j R$  is also a fin gen  $R$ -mod. So  $u - v, uv \in R[u, v]$  are both integral over  $R$ , i.e.  $u - v, uv \in \widehat{R}$ . Therefore  $\widehat{R} \leq S$  as a subring. □

**Def.** *R* int dom with quotient field  $K \supseteq R$ . Then *R* is called **integrally closed** if all integral elements of *K* over *R* are in *R*.

### 3.4.2 Fractional Ideals of a Domain

**Def.** Let *R* be an int dom with quotient field *K*. For  $a \in R \setminus \{0\}$  and  $\{0\} \neq J \triangleleft R$ . The nonzero *R*-submodule  $a^{-1}J = \left\{ \frac{j}{a} \mid j \in J \right\}$  of *K* is called a **fractional ideal** of *R*.

**Ex.**  $\{\text{ideals of } R\} = \{\text{frac ideals of } K \text{ that are contained in } R\}$

**Ex.** Every nonzero fin gen *R*-submod of *K* is a frac ideal of *R*.

**Ex.** Let *I* and *J* be two frac ideals of *R*. The followings are also frac ideals of *R*:

$$(i) \quad IJ = \left\{ \sum_{i=1}^n c_i d_i \mid c_i \in I; d_i \in J; n \in \mathbf{Z}^+ \right\}.$$

$$(ii) \quad I \cap J.$$

$$(iii) \quad I + J = \{c + d \mid c \in I; d \in J\}.$$

**Def.** A frac ideal *I* of *R* is said to be **invertible** if  $IJ = R$  for some frac ideal *J* of *R*.

**Ex.** Every frac ideal  $a^{-1}(b) = (a^{-1}b)R$  is invertible for  $b \in R$ .

**Remark.** Let *I, J, L* be frac ideals of *R*.

1. If *I* is invertible and  $IJ = IL$ , then  $J = I^{-1}(IJ) = I^{-1}(IL) = L$ .

2. Define

$$I^{-1} = \{a \in K \mid aI \subseteq R\}.$$

Then  $I^{-1}$  is a frac ideal such that

$$(a) \quad \text{if } I \subset J, \text{ then } I^{-1} \supset J^{-1};$$

$$(b) \quad (I + J)^{-1} = I^{-1} \cap J^{-1};$$

3. If *I* is invertible, then the inverse is unique and is  $I^{-1}$ .

4. All invertible frac ideals of *R* form a multiplicative group.

**Lem 3.23.** Let  $I, I_1, I_2, \dots, I_n$  be ideals in an int dom *R*.

(i) The ideal  $I_1 I_2 \cdots I_n$  is invertible if and only if each  $I_j$  is invertible.

(ii) If an invertible ideal  $I = P_1 \cdots P_m = Q_1 \cdots Q_n$ , where the  $P_i$  and  $Q_j$  are prime ideals in  $R$ , then  $m = n$  and (after reindexing)  $P_i = Q_i$  for each  $i = 1, \dots, m$ .

*Proof.* 1. Use  $(I_1 I_2 \cdots I_n)^{-1} = I_1^{-1} I_2^{-1} \cdots I_n^{-1}$ .

2. Induction on  $m$ .  $m = 1$  is trivial. For  $m > 1$ , choose a minimal prime ideals among  $\{P_1, \dots, P_m\}$ , say  $P_1$ . Then  $Q_1 \cdots Q_n \subseteq P_1$  implies that  $Q_i \subseteq P_1$  for some  $i$ , say  $Q_1 \subseteq P_1$ . Similarly,  $P_j \subseteq Q_1$  for some  $j$ . By the minimality of  $P_1$ , we have  $P_j = Q_1 = P_1$ . Then  $P_2 \cdots P_m = Q_2 \cdots Q_n$ . By the induction hypothesis,  $m = n$  and  $P_i = Q_i$  for  $i = 1, \dots, m$  after reindexing. □

Now we study invertible frac ideals.

**Lem 3.24.** *Every invertible frac ideal of an int dom  $R$  is a fin gen  $R$ -mod.*

*Proof:* Since  $I^{-1}I = R$ , there exist  $a_i \in I^{-1}$ ,  $b_i \in I$  such that  $1_R = \sum_{i=1}^n a_i b_i$ . If  $c \in I$ , then  $c = \sum_{i=1}^n (ca_i) b_i \in Rb_1 + \cdots + Rb_n$  since  $ca_i \in I^{-1}I = R$ . So  $I$  is finitely generated by  $b_1, \dots, b_n$ .

**Thm 3.25.** *A frac ideal  $I$  of an int dom  $R$  is invertible iff  $I$  is a projective  $R$ -mod.*

(The proof is skipped.)

### 3.4.3 Structure of Dedekind Domains

**Def.** *An int dom  $R$  is a **Dedekind domain** if every ideal  $I$  ( $\neq R$ ) is a product of finite many prime ideals:  $I = P_1 P_2 \cdots P_n$ .*

PID: Every ideal  $I = (a) = (p_1^{s_1} \cdots p_n^{s_n}) = (p_1)^{s_1} \cdots (p_n)^{s_n}$ .

Dedekind domain: Every ideal  $I$  is a product of finitely many prime ideals.

Noetherian int dom: Every ideal  $I$  has a primary decomposition.

$\{\text{PIDs}\} \subsetneq \{\text{Dedekind domains}\} \subsetneq \{\text{Noetherian integral domains}\}$ .

**Thm 3.26.** *If  $R$  is a Dedekind dom, then every nonzero prime ideal of  $R$  is invertible and maximal.*

*Proof:*

1. Claim: Every invertible prime ideal  $P$  is maximal.

If not, there is  $a \in R - P$  such that  $P + Ra \neq R$ . Then  $P \subset P + Ra^2 \subset P + Ra \subsetneq R$ . Suppose that  $P + Ra^2 = Q_1 \cdots Q_m$  and  $P + Ra = P_1 \cdots P_n$  where  $P_i$  and  $Q_j$  are prime ideals of  $R$ . Then  $P \subset P_i$  and  $P \subset Q_j$ . Define  $\pi : R \rightarrow R/P$  the canonical epimorphism. We have  $\pi(Q_1) \cdots \pi(Q_m) = (\pi(a)^2) = (\pi(a))^2 = \pi(P_1)^2 \cdots \pi(P_n)^2$ . The principal ideal  $(\pi(a)^2)$  is invertible in the integral domain  $\pi(R)$ . So by Lemma 3.23,  $n = 2m$ , and after permutation, we may assume that  $\pi(P_i) = \pi(Q_{2i-1}) = \pi(Q_{2i})$ . Then  $P_i = Q_{2i-1} = Q_{2i}$  and thus  $P \subset P + Ra^2 = Q_1 \cdots Q_{2n} = P_1^2 \cdots P_n^2 = (P + Ra)^2 \subset P^2 + Ra$ . If  $p \in P$ , then  $p = c + ra$  for  $c \in P^2$  and  $r \in R$ . So  $ra = p - c \in P$  and thus  $r \in P$  and thus  $p \in P^2 + Pa$ . This shows that  $P \subset P^2 + Pa \subset P$ . Thus  $P = P^2 + Pa = P(P + Ra)$ . Since  $P$  is invertible,  $R = P^{-1}P = P^{-1}P(P + Ra) = P + Ra$ . This contradicts to  $P + Ra \neq R$ .

2. Claim: Every nonzero prime ideal  $P$  is invertible and maximal.

Choose  $a \in P \setminus \{0\}$ . The principal ideal  $(a) = P'_1 \cdots P'_k$  is invertible. So each prime ideal  $P'_i$  is invertible and so  $P'_i$  is maximal. By  $P'_1 \cdots P'_k = (a) \subset P$  we have some  $P'_j \subset P$ . This show that  $P = P'_j$  is invertible and maximal.

Here is a comprehensive structure thm of Dedekind dom:

**Thm 3.27** (\*). *The foll conditions on an int dom  $R$  are equiv:*

1.  $R$  is a Dedekind dom.
2. every proper ideal in  $R$  is uniquely a product of a finite number of prime ideals.
3. every nonzero ideal in  $R$  is invertible. (equiv: i. every frac ideal of  $R$  is invertible. ii. the set of all frac ideals of  $R$  is a group under multiplication.)
4. every ideal in  $R$  is projective. (equiv: every frac ideal of  $R$  is projective.)
5.  $R$  is Noetherian, integrally closed and every nonzero prime ideal is maximal.

*Proof.*

1 $\Leftrightarrow$ 2: By definition.

2 $\Rightarrow$ 3: By Thm 3.26 and Lem 3.23.

3 $\Leftrightarrow$ 4: By Thm 3.25.

3 $\Rightarrow$ 5: Every nonzero ideal is invertible. So the ideal is a fin gen  $R$ -mod (Lem 3.24). So  $R$  is Noetherian. If  $u \in K$  (the quotient field of  $R$ ) is integral over  $R$ , then  $R[u]$  is a fin gen  $R$ -mod, which must be a frac ideal. By assumption  $R[u]$  is invertible. Since  $R[u]R[u] = R[u]R$ ,  $R[u] = R$  so that  $u \in R$ . So  $R$  is integrally closed. Finally, if  $P$  is nonzero prime but not maximal, then  $P \subsetneq M \subsetneq R$  for some ideal  $M$ . All nonzero ideals are invertible. Take inverse:  $R = R^{-1} \subsetneq M^{-1} \subsetneq P^{-1}$ . Multiple all sides by  $P$ :  $P \subsetneq M^{-1}P \subsetneq R$ . So  $M^{-1}P \triangleleft R$ . Now  $P = M(M^{-1}P)$  but  $P \subsetneq M$  and  $P \subsetneq M^{-1}P$ , a contradiction to  $P$  prime.

5 $\Rightarrow$ 2: (A proof is given in VIII.6. Here we briefly sketch an alternative way.) Note that every nonzero ideal in the Noetherian  $R$  has a reduced primary decomposition. We will modify this reduced primary decomposition to a product of prime ideals. Let  $K$  be the quotient field of  $R$ .

(a) Claim: Every nonzero prime ideal  $P$  is invertible (cf. Lem VIII.6.9).

**Proof:** By assumption  $P$  is max in  $R$ . The frac ideal  $P^{-1} = \{a \in K \mid aP \subset R\} \supset R$ . So  $P \subset P^{-1}P \subset R$ . Suppose on the contrary,  $P$  is not invertible. Then  $P^{-1}P \neq R$  and thus  $P^{-1}P = P$ . Then  $P^{-1}$  is a ring ext of  $R$ . Every ideal of the Noether  $R$  is fin gen. So is the frac ideal  $P^{-1}$ . Then  $P^{-1} \geq R$  is a fin gen ring ext. So  $P^{-1} = R$  since  $R$  is integrally closed. Let

$$\mathcal{F} = \{I \triangleleft R \mid I \leq P, I^{-1} \neq R\}.$$

Then  $\mathcal{F} \neq \emptyset$  since  $(a) \in \mathcal{F}$  for every  $a \in P - \{0\}$ . Since  $R$  is Noether, there is a max element  $M \in \mathcal{F}$ . Clearly  $\{0\} \subsetneq M \subsetneq P$ . We claim that  $M$  is prime. Suppose not. Then  $ab \in M$  for some  $a, b \in R - M$ . By  $ab \in P$ , we may assume that  $a \in P - M$  so that  $aR + M \leq P$ . Then  $b(aR + M)M^{-1} \subseteq R$ . So  $bM^{-1} \subseteq (aR + M)^{-1} = R$ . Then  $bM^{-1} \subseteq R$  and thus  $b \in M$ , a contradiction. Hence  $M$  is prime, which contradicts the assumption that every nonzero prime ideal is maximal. Therefore,  $P$  is invertible.

(b) Claim: If  $\text{Rad}(Q) = P$  where  $P$  is a prime, then  $Q = P^k$  for some  $k > 0$ .

**Proof:** Suppose not. In Noetherian  $R$ ,  $P = (p_1, \dots, p_m) = \text{Rad}(Q)$  is finitely generated. Suppose  $p_i^{n_i} \in Q$  and let  $n = \max\{n_1, \dots, n_m\}$ . Then  $P^n \subsetneq Q \subset P$ . There is  $k$  such that  $Q \subsetneq P^k$  but  $Q \not\subseteq P^{k+1}$ . By (a) and Lem 3.23(i), the ideals  $P$  and  $P^k$  are invertible. So  $P^{-k}Q \subsetneq R$  is an ideal. Now  $Q = P^k(P^{-k}Q)$  and

$$P = \text{Rad}(Q) = \text{Rad}(P^k) \cap \text{Rad}(P^{-k}Q) = P \cap \text{Rad}(P^{-k}Q).$$

Thus  $P \subset \text{Rad}(P^{-k}Q)$ . Since  $P$  is maximal,  $P = \text{Rad}(P^{-k}Q)$  and so  $P^{-k}Q \subset P$  and so  $Q \subset P^{k+1}$ . Contradict to  $Q \not\subseteq P^{k+1}$ .

- (c) The ring  $R$  is Noetherian. Hence every ideal  $I$  has reduced primary decomposition:  $I = P_1^{s_1} \cap \cdots \cap P_k^{s_k}$ , where  $P_i$  are distinct primes. We claim that  $I = P_1^{s_1} \cap \cdots \cap P_k^{s_k} = P_1^{s_1} \cdots P_k^{s_k}$  by induction on  $k$ .

$k = 1$  is obviously true. Suppose that the claim holds for  $k - 1$ . Denote  $Q' = P_1^{s_1} \cap \cdots \cap P_{k-1}^{s_{k-1}} = P_1^{s_1} \cdots P_{k-1}^{s_{k-1}}$ . Then

$$Q' \supseteq P_1^{s_1} \cap \cdots \cap P_k^{s_k} = Q' \cap P_k^{s_k} \supseteq Q' P_k^{s_k}.$$

Both  $Q'$  and  $P_k^{s_k}$  are invertible by (a) and Lem 3.23(i). So  $R \supseteq (Q')^{-1}(Q' \cap P_k^{s_k}) \supseteq P_k^{s_k}$ . The ideal  $J := (Q')^{-1}(Q' \cap P_k^{s_k})$  has  $\text{Rad}(J) \supseteq \text{Rad}(P_k^{s_k}) = P_k$ . Then  $\text{Rad}(J) = P_k$  and so  $J = P_k^s$  for some  $s > 0$  by (b). Then

$$P_k^{s_k} \supseteq Q' \cap P_k^{s_k} = Q' P_k^s \supseteq Q' P_k^{s_k}$$

Multiple all sides by  $(P_k^{-1})^{s_k}$ . Then  $R \supseteq Q' P_k^{s-s_k} \supseteq Q'$ . From  $R \supseteq Q' P_k^{s-s_k}$ , we get  $P_k^{s-s_k} \supseteq Q'$ . From  $Q' P_k^{s-s_k} \supseteq Q'$ , we get  $P_k^{s-s_k} \supseteq R$  and so  $R \supseteq P_k^{s-s_k} \supseteq Q'$ . So  $s_k \geq s$ . If  $s_k > s$ , then  $P_k \supseteq Q'$  and so  $P_k \supseteq P_i$  for some  $i = 1, \dots, k-1$ , which is impossible since every  $P_i$  is maximal and distinct. Therefore,  $s = s_k$ , and

$$P_1^{s_1} \cap \cdots \cap P_k^{s_k} = Q' \cap P_k^{s_k} = Q' P_k^{s_k} = P_1^{s_1} \cdots P_k^{s_k}.$$

By induction hypothesis, we finish the proof. □

**Ex.**  $\text{PIDs} \subsetneq \text{Dedekind Doms} \subsetneq \text{Noether Doms}$ :

1.  $\mathbf{Z}[\sqrt{10}]$  is a Dedekind domain, but it is not a UFD and so not a PID:

$$6 = 2 \cdot 3 = (4 + \sqrt{10})(4 - \sqrt{10}).$$

2. If  $F$  is a field, then  $F[x_1, x_2]$  is UFD and Noetherian, but not Dedekind, since the prime ideal  $(x_1)$  is not maximal.