

## Homotopy, the Fundamental Group

Definition. Let  $X$  be a topological space and let  $I$  denote the unit interval  $[0, 1]$  with the usual topology. Then a continuous map  $f : I \rightarrow X$  is called a *path* in  $X$ . The point  $p = f(0)$  is called the initial or first point of the path, the point  $q = f(1)$  is called the final or last point of the path and  $f$  is said to be a path from  $p$  to  $q$ .

Definition. The space  $X$  is said to be pathwise connected if and only if for each pair of points  $\{x, y\}$  of  $X$  there is a path from  $x$  to  $y$ .

Definition. Let  $X$  and  $Y$  be topological spaces and let  $f, g : X \rightarrow Y$  be continuous functions. Then the  $f$  is said to be *homotopic* to  $g$  if and only if there is a continuous map  $F : X \times I \rightarrow Y$  so that:

$$F(x, 0) = f(x) \text{ for all } x \in X \text{ and}$$

$$F(x, 1) = g(x) \text{ for all } x \in X.$$

The map  $F$  is called a *homotopy* and the notation “ $\simeq$ ” is used to indicate when functions are homotopic; thus  $f \simeq g$  means that  $f$  is homotopic to  $g$ . A map the is homotopic to a constant map is said to be *nullhomotopic*.

Exercise 9.1. Show that  $\simeq$  is an equivalence relation.

Notation. If  $X$  and  $Y$  are topological spaces then  $[X, Y]$  denotes the collection of homotopy equivalence classes.

Notation. If  $f$  is a path, then  $[f]$  denotes the set of paths homotopic to  $f$ . Thus  $[I, X] = \{[f] \mid f \text{ is a path in } X\}$ .

Definition. Suppose that  $f$  is a path from  $x$  to  $y$  in  $X$  and  $g$  is a path from  $y$  to  $z$  in  $X$ . Then  $f * g$  denotes the function  $h$  defined by:

$$h(t) = f(2t) \text{ for } t \in [0, \frac{1}{2}],$$

$$h(t) = g(2t - 1) \text{ for } t \in (\frac{1}{2}, 1].$$

Observe that  $h$  is continuous at  $t = \frac{1}{2}$  so calling it a “path” is valid. If  $f$  is a path  $[f]$  denotes the equivalence class of  $f$ :  $[f] = \{g \mid g \simeq f\}$ .

Notation. Let us assume that when we refer to  $f * g$  that  $f$  and  $g$  are paths and that the last point of  $f$  is the first point of  $g$ .

Exercise 9.2. [Using the notation in the above definition]: Show that  $f * g$  is a path from  $x$  to  $z$ .

Exercise 9.3. Define  $*$  on the collection of equivalence classes  $[I, Y]$  of paths by  $[f] * [g] = [f * g]$ . On this set of equivalence classes, determine:

- a. If the operation  $*$  is well defined.
- b. If the operation  $*$  is associative.
- c. If the operation  $*$  has left and right identities.
- d. If the operation  $*$  has corresponding inverses.

Definition. The space  $X$  is said to be *contractible* if and only if the identity function on  $X$  is nullhomotopic.

Theorem 9.1. If  $X$  is contractible then it is pathwise connected.

Definition. Suppose that  $f$  and  $g$  are two paths  $f, g[0, 1] \rightarrow X$  then  $f$  is said to be *path-homotopic* to  $g$  if  $f(0) = g(0)$ ,  $f(1) = g(1)$  and there exists a homotopy  $H : I \times I \rightarrow X$  so that:

$$\begin{aligned} H(t, 0) &= f(t), & H(t, 1) &= g(t), \\ H(0, t) &= f(0) \text{ for all } t \in I, \\ H(1, t) &= f(1) \text{ for all } t \in I. \end{aligned}$$

Thus a path-homotopy keeps the endpoints of the paths fixed. Let us use the notation  $f \simeq_P g$  to denote the fact that  $f$  is path-homotopic to  $g$ .

Exercise: Show that  $\simeq_P$  is an equivalence relation.

Definition. A *loop* is a path in which the initial point is also the final point; this point is called the base point of the loop.

Theorem 9.2. Suppose that  $X$  is a topological space and  $a \in X$ . Let  $\pi_1(X, a)$  denote the collection of path-homotopy equivalence classes of all loops based at  $a$ . Then  $\pi_1(X, a)$  with operation  $*$  is a group.

Definition. The group  $\pi_1(X, a)$  is called the fundamental group of  $X$  based at the point  $a$ .

Exercise 9.4. Calculate  $\pi_1(X, a)$  for:

- a.  $X = [0, 1]$ ,
- b.  $X = \mathbb{R}^2$ ,
- c.  $X$  is the unit circle  $\{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 = 1\}$ .

Theorem 9.3. Let  $a, b \in X$  and suppose that there is a path from  $a$  to  $b$ . Then the groups  $\pi_1(X, a)$  and  $\pi_1(X, b)$  are isomorphic.

Theorem 9.4. If  $X$  is contractible then  $\pi_1(X, a)$  is the trivial group.

Theorem 9.5. Suppose  $X$  and  $Y$  are topological spaces,  $a \in X$  and  $f : X \rightarrow Y$  is a continuous map. Define  $f_* : \pi_1(X, a) \rightarrow \pi_1(Y, f(a))$  by  $f_*([h]) = [f \circ h]$ . Then  $f_*$  is a homomorphism.

Theorem 9.6. Suppose  $X$  and  $Y$  are homeomorphic pathwise connected topological spaces,  $x \in X$  and  $y \in Y$ . Then  $\pi_1(X, x)$  and  $\pi_1(Y, y)$  are isomorphic.

Exercise 9.5. Let  $X$  be a topological space.

- a. Show that following holds when the expressions are meaningful:

$$(f \circ g)_* = f_* \circ g_*.$$

- b. Show that if  $i$  is the identity map on  $X$  then  $i_*$  is the identity homomorphism.

Theorem 9.7. The unit interval is contractible.

Exercise. 9.6. Is the real line contractible?

Definition. The subset  $M$  of Euclidean  $n$ -space is said to be convex if and only if for each pair of points in  $M$  the straight line segment containing the points is also in  $M$ . (In vector notation if  $A \in M$  and  $B \in M$  then  $\{tA + (1 - t)B \mid 0 \leq t \leq 1\} \subset M$ .)

Theorem 9.8. A compact convex subset of  $\mathbb{R}^n$  is contractible.

Question. Is compactness necessary in theorem 9.8?

Exercise 9.7. Let  $X$  be the punctured plane,  $X = \mathbb{R}^2 - \{(0, 0)\}$ ; so that  $X$  is the plane with the origin removed. Let  $I$  denote the unit interval. Let  $S$  denote the unit circle  $S = \{(\cos(\theta), \sin(\theta)) \mid 0 \leq \theta \leq 2\pi\}$ ; for each  $\theta$  let  $P_\theta$  denote the point  $(\cos(\theta), \sin(\theta)) \in S$ . Determine which of the following function are nullhomotopic.

- a.  $f : I \rightarrow X$  such that  $f(t) = (t, 1 + t^2)$ .
- b.  $f : I \rightarrow X$  such that  $f(t) = (\cos(\frac{t}{2\pi}), \sin(\frac{t}{2\pi}))$ .
- c.  $f : S \rightarrow X$  such that  $f(P_\theta) = (\cos(\theta), \sin(\theta))$ .
- d.  $f : S \rightarrow X$  such that  $f(P_\theta) = (\cos(\theta) + 5, \sin(\theta) + 5)$ .