

## Chapter 2

# Semisimple Lie Algebras

### 2.1 Killing form

#### 2.1.1 Criterion for Semisimplicity

The **Killing form** of a Lie algebra  $L$  is a bilinear form  $\kappa : L \times L \rightarrow F$  defined by:

$$\kappa(x, y) = \text{Tr}(\text{ad } x \text{ad } y) \quad \text{for } x, y \in L.$$

The Killing form is

1. symmetric:  $\kappa(x, y) = \kappa(y, x)$ , and
2. associative: in the sense that  $\kappa([x, y], z) = \kappa(x, [y, z])$  (since  $\text{Tr}([\text{ad } x, \text{ad } y]\text{ad } z) = \text{Tr}(\text{ad } x[\text{ad } y, \text{ad } z])$ .)

The **radical** of Killing form (or any symmetric bilinear form of  $L$ ) is  $S = \{x \in L \mid \kappa(x, y) = 0 \text{ for all } y \in L\}$ . Given a basis  $\{x_1, \dots, x_n\}$  of  $L$ , the dimension of radical is

$$\dim S = n - \text{rank} [\kappa(x_i, x_j)]_{n \times n}.$$

We call  $\kappa$  **nondegenerate** if  $\dim S = 0$ , i.e., the matrix  $[\kappa(x_i, x_j)]_{n \times n}$  is nondegenerate.

- 3 the radical  $S$  of  $\kappa$  is an ideal: by associativity of  $\kappa$ , if  $x \in S$  and  $y, z \in L$ , then

$$\kappa([x, y], z) = \kappa(x, [y, z]) = 0 \implies [x, y] \in S.$$

**Ex.** Compute the matrix form of the Killing form  $\kappa$  of  $\mathfrak{sl}(2, F)$  w.r.t. the basis  $\{h, e, f\}$ :

$$h = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}, \quad e = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, \quad f = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix}.$$

**Lem 2.1.** Suppose a Lie algebra  $L$  has the Killing form  $\kappa$ , and  $I$  is an ideal of  $L$ . Then:

1. the Killing form of  $I$  is  $\kappa_I = \kappa|_{I \times I}$ ;
2. the orthogonal subspace  $I^\perp$  of  $I$  w.r.t.  $\kappa$  is also an ideal of  $L$ :

$$I^\perp := \{x \in L \mid \kappa(x, y) = 0 \text{ for any } y \in I\}.$$

(Note that in general  $I \cap I^\perp \neq 0$ .)

*Proof.* For  $x, y \in I$ ,  $\text{ad } x$  (resp.  $\text{ad } y$ ) maps  $L$  to  $I$ . Therefore,

$$\kappa(x, y) = \text{Tr}(\text{ad } x \text{ad } y) = \text{Tr}((\text{ad } x)|_I(\text{ad } y)|_I) = \kappa|_I(x, y).$$

For any  $x \in I^\perp$ ,  $y \in L$ , and  $z \in I$ ,

$$\kappa([x, y], z) = \kappa(x, [y, z]) = 0.$$

Therefore,  $I^\perp$  is also an ideal of  $L$ . □

Corollary 1.29 implies that: (exercise)

*a Lie algebra  $L$  is solvable iff the radical of its Killing form contains  $[L, L]$ .*

Now we develop a criterion for  $L$  to be semisimple, i.e., the maximal solvable ideal  $\text{Rad } L = 0$ .

**Thm 2.2.** *A Lie algebra  $L$  is semisimple iff its Killing form is nondegenerate.*

*Proof.* If  $L$  is semisimple, then  $\text{Rad } L = 0$ . Let  $S$  be the radical of  $\kappa$ . Then  $\text{Tr}(\text{ad } x \text{ad } y) = 0$  for any  $x \in S$  and  $y \in L$  (esp. for  $y \in [S, S]$ ). By Corollary 1.29,  $S$  is solvable. Therefore,  $S = 0$ .

Conversely, suppose on the contrary,  $S = 0$  but  $\text{Rad } L \neq 0$ . Then the last nonzero term  $I$  in the derived series of  $\text{Rad } L$  is a nonzero abelian ideal of  $L$  (exercise). For any  $x \in I$  and  $y \in L$ ,  $\text{ad } x \text{ad } y$  sends  $L \rightarrow L \rightarrow I$ . So the image of  $(\text{ad } x \text{ad } y)^2$  is in  $[I, I] = 0$ . Therefore,  $(\text{ad } x \text{ad } y)^2 = 0$ , which implies that  $\text{ad } x \text{ad } y$  is nilpotent and  $\kappa(x, y) = \text{Tr}(\text{ad } x \text{ad } y) = 0$ . This shows that  $I \subseteq S = 0$ , a contradiction. Hence  $\text{Rad } L = 0$ . □

**Remark.** *The proof also shows that  $S \subseteq \text{Rad } L$ . However, the converse need not hold.*

Next we explore some applications of the Killing form.

### 2.1.2 Simple Ideals of Semisimple Lie Algebra

A Lie algebra  $L$  is a **direct sum of ideals**  $L_1, \dots, L_t$  if  $L = L_1 \oplus \dots \oplus L_t$  as vector spaces. Obviously,  $[L_i, L_j] = 0$  for  $i \neq j$ .

**Thm 2.3.** *Let  $L$  be semisimple with Killing form  $\kappa$ . Then*

1.  *$L$  is a direct sum of some simple ideals:  $L = L_1 \oplus \dots \oplus L_t$ .*
2. *The Killing form of  $L_i$  is exactly  $\kappa_i = \kappa|_{L_i \times L_i}$ . There is an orthogonal direct sum  $\kappa = \kappa_1 \oplus \dots \oplus \kappa_t$ .*
3. *Every simple ideal of  $L$  coincides with one of the  $L_i$ .*
4. *Every ideal  $I$  of  $L$  is a direct sum of some  $L_i$ 's, which is semisimple. There is a direct sum of ideals  $L = I \oplus I^\perp$  w.r.t. the Killing form.*
5. *Every homomorphic image of  $L$  is semisimple and isomorphic to a direct sum of some  $L_i$ 's.*
6.  *$L = [L, L]$ .*

*Proof.* Let  $I$  be any ideal of  $L$ . Then  $I^\perp$  and  $I \cap I^\perp$  are also ideals of  $L$ . By Cartan's Criterion,  $I \cap I^\perp$  is solvable. Hence  $I \cap I^\perp = 0$  and  $L = I \oplus I^\perp$  by dimension counting. Moreover, any ideal  $J$  of  $I$  is also an ideal of  $L$ , and hence an orthogonal direct sum component of  $L$  w.r.t.  $\kappa$ . Therefore,  $L$  can be decomposed into an orthogonal direct sum of indecomposable nonabelian ideals, aka. simple ideals:

$$L = L_1 \oplus \cdots \oplus L_t, \quad L_i \perp L_j \text{ w.r.t. } \kappa \text{ for } i \neq j.$$

Claims 1 and 2 are proved.

If  $I$  is any ideal of  $L$ , then  $I = [I, L] = [I, L_1] \oplus \cdots \oplus [I, L_t]$ . Each  $[I, L_i] \subseteq I \cap L_i$  is either 0 or  $L_i$ . It immediately implies Claims 3, 4, and 5.

Finally,

$$[L, L] = \bigoplus_i \bigoplus_j [L_i, L_j] = \bigoplus_i [L_i, L_i] = \bigoplus_i L_i = L. \quad \square$$

**Remark.** *The study of semisimple Lie algebras can be done by exploring the simple Lie algebras.*

### 2.1.3 Derivations

We have shown that  $\text{ad } L$  is an ideal of  $\text{Der } L$ . When  $L$  is semisimple, it turns out that every derivation of  $L$  is inner.

**Thm 2.4.** *If  $L$  is semisimple, then  $\text{ad } L = \text{Der } L$ .*

*Proof.*  $A := \text{ad } L$  is an ideal of  $D := \text{Der } L$ . So the Killing form  $\kappa_A$  is the restriction of  $\kappa_D$  to  $A \times A$ . Since  $L$  is semisimple,  $Z(L) = 0$  and  $A \simeq L/Z(L) \simeq L$ . Therefore,  $\kappa_A$  is nondegenerate. There is a direct sum of ideals  $D = A \oplus A^\perp$  (w.r.t. the Killing form  $\kappa_D$ ). For any  $\delta \in A^\perp$  and  $x \in L$ ,

$$0 = [\delta, \text{ad } x] = \text{ad } (\delta x) \implies \delta x = 0 \text{ for any } x \in L.$$

Therefore,  $\delta = 0$ ,  $A^\perp = 0$ , and  $D = A$ . □

**Remark.** *When  $L$  is semisimple, the Lie algebra of  $\text{Aut } L$  is  $\text{Der } L = \text{ad } L$ . If  $G$  is a (real or complex) connected Lie group whose Lie algebra  $L$  is semisimple, then the Lie algebra of  $\text{Aut}(G)$  is exactly  $\text{Der } L = \text{ad } L$ .*

### 2.1.4 Abstract Jordan Decomposition

Lemma 1.27 shows that  $\text{Der } L$  contains the semisimple part and the nilpotent part of all its elements. When  $L$  is semisimple,  $\text{Der } L = \text{ad } L$ . We can write every  $\text{ad } x \in \text{ad } L$  uniquely as

$$\text{ad } x = \text{ad } x_s + \text{ad } x_n,$$

where  $x_s, x_n \in L$ ,  $\text{ad } x_s$  is semisimple,  $\text{ad } x_n$  is nilpotent, and  $\text{ad } x_s$  and  $\text{ad } x_n$  commute. Then  $x = x_s + x_n$  and  $[x_s, x_n] = 0$ . This is called the **abstract Jordan decomposition** of  $x$  in  $L$ , and  $x_s$  (resp.  $x_n$ ) is called the **semisimple part** (resp. **nilpotent part**) of  $x$ .

The abstract Jordan decomposition is preserved by direct sums (exercise), Lie algebra homomorphisms, and representations (to be proved in the next section).