

## 2.2 Complete reducibility of representations

In this section, all representations are finite dimensional. We will study a semisimple Lie algebra  $L$  by means of its adjoint representation in later sections.

### 2.2.1 Representations and Modules

**Def.** Let  $L$  be a Lie algebra. A vector space  $V$  endowed with an operation  $L \times V \rightarrow V$  (denoted  $(x, v) \mapsto x.v$  or  $xv$ ) is called an  **$L$ -module** if it satisfies the following axioms ( $a, b \in F$ ,  $x, y \in L$ ,  $v, w \in V$ ):

$$M1. (ax + by).v = a(x.v) + b(y.v),$$

$$M2. x.(av + bw) = a(x.v) + b(x.w),$$

$$M3. [x, y].v = x.y.v - y.x.v.$$

**Prop 2.5.** An  $L$ -module  $V$  is equivalent to an  $L$ -representation  $\rho : L \rightarrow \mathfrak{gl}(V)$  by means of:

$$x.v \longleftrightarrow \rho(x)(v) \quad \text{for } x \in L, v \in V.$$

Every  $L$ -submodule  $W$  of  $V$  corresponds to a subrepresentation  $L \rightarrow \mathfrak{gl}(W)$ .

**Ex.** The adjoint representation  $\text{ad} : L \rightarrow \mathfrak{gl}(V)$  establishes  $L$  as an  $L$ -module:  $x.y = \text{ad } x(y) = [x, y]$  for  $x \in L$  (Lie algebra),  $y \in L$  (vector space).  $I$  is submodule of  $L$  iff  $I$  is an ideal of  $L$ .

**Def.** An  $L$ -module  $V$  (equiv. representation) is called **irreducible** if it has precisely two  $L$ -submodules: itself and  $0$ . The  $L$ -module  $V$  is called **completely reducible** if  $V$  is a direct sum of irreducible  $L$ -modules, or equivalently, if each  $L$ -submodule  $W$  of  $V$  possesses a complement  $L$ -submodule  $W'$  such that  $V = W \oplus W'$  (*exercise*).

**Ex.** Let  $L$  be a Lie algebra.

1. Every one dimensional  $L$ -module is irreducible, but a zero dimensional  $L$ -module is not irreducible;
2.  $L$  is simple iff  $L$  is an irreducible  $L$ -module, and  $L$  is semisimple iff  $L$  is completely reducible, w.r.t. the adjoint representation of  $L$ . (*exercise*)

**Def.** A linear map of  $L$ -modules,  $\phi : V \rightarrow W$ , is called a **homomorphism of  $L$ -modules** if  $\phi(x.v) = x.\phi(v)$  for any  $x \in L$  and  $v \in V$ . An **isomorphism of  $L$ -modules** associates with a pair of **equivalent** representations of  $L$ .

Other than direct sums, new  $L$ -modules can be constructed from the dual, tensors, and homomorphisms (exercises: show that they each satisfies the axioms M1, M2, and M3 for  $L$ -modules):

1. Dual: Let  $V$  be an  $L$ -module. The dual space  $V^* = \text{Hom}_F(V, F)$  becomes an  $L$ -module (called the **dual** or **contragredient**) such that for  $x \in L$ ,  $f \in V^*$ ,  $v \in V$ ,

$$(x.f)(v) = -f(x.v).$$

2. Tensor: Let  $V$  and  $W$  be  $L$ -modules. The tensor product space  $V \otimes_F W$  (or simply  $V \otimes W$ ) becomes an  $L$ -module such that for any  $x \in L$ ,  $v \in V$  and  $w \in W$ ,

$$x.(v \otimes w) = x.v \otimes w + v \otimes x.w.$$

3. Homomorphism and Endomorphism: Let  $V$  and  $W$  be  $L$ -modules. There is a standard isomorphism of vector spaces  $\Psi : V^* \otimes_F W \rightarrow \text{Hom}_F(V, W)$  defined by:

$$\Psi(\delta \otimes w)(v) := \delta(v)w, \quad \delta \in V^*, w \in W, v \in V.$$

The isomorphism  $\Psi$  makes  $\text{Hom}(V, W)$  an  $L$ -module by:

$$(x.f)(v) = x.f(v) - f(x.v), \quad x \in L, f \in \text{Hom}(V, W), v \in V.$$

In particular, when  $W = V$ , then  $\text{End}(V) \simeq V^* \otimes V$  also becomes an  $L$ -module. (exercise)

The space of all bilinear forms on  $L$  is also an  $L$ -module (see Exercise 6.8 of Humphrey.)

### 2.2.2 Casimir element of a representation

Let  $L$  be semisimple,  $\phi : L \rightarrow \mathfrak{gl}(V)$  a **faithful** (i.e. 1-1) representation of  $L$ . Then the bilinear form

$$\beta(x, y) := \text{Tr}(\phi(x)\phi(y))$$

is symmetric, associative, and nondegenerate (similar to the Killing form where  $\phi = \text{ad}$ ).

Fix a basis  $(x_1, \dots, x_n)$  of  $L$ ; there is a unique dual basis  $(y_1, \dots, y_n)$  relative to  $\beta$ , such that  $\beta(x_i, y_j) = \delta_{ij}$ . Define the **Casimir element of  $\phi$**  by:

$$c_\phi := \sum_{i=1}^n \phi(x_i)\phi(y_i) \in \text{End } V.$$

**Lem 2.6.** *Suppose  $L$  is semisimple and  $\phi : L \rightarrow \mathfrak{gl}(V)$  is a faithful representation. Then the Casimir element  $c_\phi$  commutes with every endomorphism in  $\phi(L)$ .*

*Proof.* Given  $x \in L$ , let  $[x, x_j] = \sum_k a_{jk}x_k$  and  $[x, y_i] = \sum_j b_{ij}y_j$ . The symmetry and associativity of  $\beta$  imply that

$$a_{ji} + b_{ij} = \beta([x, x_j], y_i) + \beta([x, y_i], x_j) = \beta(y_i, [x, x_j]) - \beta([y_i, x], x_j) = 0 \quad \forall i, j.$$

We show that  $c_\phi$  commutes with every endomorphism in  $\phi(L)$ , using the identity  $[X, YZ] = [X, Y]Z + Y[X, Z]$  for  $X, Y, Z \in \text{End } V$ . For  $x \in L$ ,

$$\begin{aligned} [\phi(x), c_\phi] &= \sum_i [\phi(x), \phi(x_i)]\phi(y_i) + \sum_i \phi(x_i)[\phi(x), \phi(y_i)] \\ &= \sum_{i,j} a_{ij}\phi(x_j)\phi(y_i) + \sum_{i,j} b_{ij}\phi(x_i)\phi(y_j) \\ &= \sum_{i,j} (a_{ji} + b_{ij})\phi(x_i)\phi(y_j) = 0. \end{aligned}$$

Therefore,  $c_\phi$  commutes with  $\phi(L)$ . □

When  $\phi$  is an irreducible representation, we have  $c_\phi = \dim L / \dim V$  (a scalar in  $\text{End } V$ ) due to the following result and the fact that  $\text{Tr } c_\phi = \sum_{i=1}^n \text{Tr}(\phi(x_i)\phi(y_i)) = n = \dim L$ :

**Thm 2.7** (Schur's Lemma). *Let  $\phi : L \rightarrow \mathfrak{gl}(V)$  be irreducible. Then the only endomorphism of  $V$  commuting with all  $\phi(x)$  ( $x \in L$ ) are the scalars.*

*Proof.* Suppose  $y \in \mathfrak{gl}(V)$  commutes with matrices in  $\phi(L)$ . Let  $\lambda$  be an eigenvalue of  $y$  and  $V_\lambda$  the eigenspace of  $y$  relative to  $\lambda$ . For any  $x \in L$  and  $v \in V_\lambda$ ,

$$y(\phi(x).v) = \phi(x)(y.v) = \lambda\phi(x).v \implies \phi(x).v \in V_\lambda.$$

In other words,  $V_\lambda$  is an  $L$ -submodule of  $V$ . Since  $V_\lambda \neq 0$  and  $V$  is irreducible, we have  $V_\lambda = V$ . Therefore,  $y = \lambda$  is a scalar matrix. □

### 2.2.3 Weyl's Theorem

**Lem 2.8.** *Let  $\phi : L \rightarrow \mathfrak{gl}(V)$  be a finite dimensional representation of a semisimple Lie algebra  $L$ . Then  $\phi(L) \subset \mathfrak{sl}(V)$ . In particular,  $L$  acts trivially on any one dimensional  $L$ -module.*

*Proof.* Since  $L$  is semisimple, we have  $\phi(L) = \phi([L, L]) \subset [\phi(L), \phi(L)] \subset \mathfrak{sl}(V)$ .  $\square$

**Thm 2.9** (Weyl). *Every finite dimensional representation  $\phi : L \rightarrow \mathfrak{gl}(V)$  of a semisimple Lie algebra  $L$  is completely reducible, that is,  $\phi$  is a direct sum of irreducible representations of  $L$ .*

*Proof.* (exercise) It suffices to show that every  $L$ -submodule  $W$  of  $V$  possesses a complementary  $L$ -submodule  $X$  such that  $V = W \oplus X$ .

First we deal with the case where  $W$  is codimension one. There are two subcases:

1.  $W$  is irreducible: Assume that  $L$  acts faithfully on  $V$  (otherwise, we replace  $L$  by  $L/\text{Ker } \phi$ ). Then  $L.W \subset W$ , and  $L$  acts trivially on the one-dimensional  $L$ -module  $V/W$ . The Casimir element  $c := c_\phi$  is a linear combination of products of elements  $\phi(x)$ . Therefore,  $c(W) \subset W$  and  $c$  acts trivially on  $V/W$ . Moreover,  $c$  commutes with  $\phi(L)$ . For any  $x \in L$  and  $v \in \text{Ker } c$ ,

$$c(x.v) = c\phi(x)(v) = \phi(x)c(v) = 0 \quad \Rightarrow \quad x.v \in \text{Ker } c.$$

Hence  $\text{Ker } c$  is an  $L$ -submodule of  $V$ . The action of  $c$  on the irreducible  $L$ -module  $W$  is a nonzero scalar. Therefore,  $\text{Ker } c$  has dimension one, and we have  $V = W \oplus \text{Ker } c$  as desired.

2.  $W$  is not irreducible: We apply induction on  $\dim W$ . Let  $W' \subset W$  be a nonzero  $L$ -submodule. Then  $W/W'$  is a codimension one submodule of  $V/W'$ . By induction hypothesis,  $V/W' = W/W' \oplus \widetilde{W}/W'$  where  $\widetilde{W}/W'$  is a module with dimension one. Now  $W'$  has codimension one in  $\widetilde{W}$ . By induction hypothesis,  $\widetilde{W} = W' \oplus X$  where  $X$  is a submodule of dimension one. Then  $V = W \oplus X$  since  $W \cap X = 0$ .

Next, we consider a general  $L$ -submodule  $W$ . The space  $\text{Hom}(V, W)$  is an  $L$ -module. Denote

$$\begin{aligned} \mathcal{V} &= \{f \in \text{Hom}(V, W) \mid f|_W = a \text{ for some } a \in F\}, \\ \mathcal{W} &= \{f \in \text{Hom}(V, W) \mid f|_W = 0\} \subset \mathcal{V}. \end{aligned}$$

Both  $\mathcal{V}$  and  $\mathcal{W}$  are subspaces of  $\text{Hom}(V, W)$ , and  $\mathcal{W}$  has codimension one in  $\mathcal{V}$ . For any  $x \in L$ ,  $f \in \mathcal{V}$ , and  $w \in W$ ,

$$(x.f)(w) = x.f(w) - f(x.w) = a(x.w) - a(x.w) = 0.$$

So  $L.\mathcal{V} \subset \mathcal{W}$ , and both  $\mathcal{V}$  and  $\mathcal{W}$  are  $L$ -submodules of  $\text{Hom}(V, W)$ . By proceeding discussion,  $\mathcal{V}$  has a one-dimensional submodule (say,  $Fg$  where  $g : V \rightarrow W$  has  $g|_W = 1_W$ ) complementary to  $\mathcal{W}$ . Then  $L$  acts trivially on  $Fg$ , so that  $0 = (x.g)(v) = x.g(v) - g(x.v)$  for  $v \in V$ . Therefore,  $g : V \rightarrow W$  is an  $L$ -module homomorphism, and  $\text{Ker } g$  is an  $L$ -submodule of  $V$ . Since  $g$  maps  $V$  into  $W$  (so that  $\dim \text{Ker } g \geq \dim(V/W)$ ), and  $g$  acts as  $1_W$  on  $W$  (so that  $W \cap \text{Ker } g = 0$ ), we have  $V = W \oplus \text{Ker } g$ .  $\square$

**Ex.** *We know that every semisimple  $L$  is a direct sum of simple ideals:  $L = L_1 \oplus L_2 \oplus \cdots \oplus L_t$ . Then the adjoint representation  $\text{ad} : L \rightarrow \mathfrak{gl}(L)$  is decomposed into a direct sum of irreducible representations:  $L = L_1 \oplus L_2 \oplus \cdots \oplus L_t$ , since each simple ideal is irreducible w.r.t. the adjoint action.*

### 2.2.4 Preservation of Jordan decomposition

A direct application of Weyl's Theorem lies in the Jordan decomposition.

**Thm 2.10.** *Let  $L \subset \mathfrak{gl}(V)$  be semisimple,  $V$  finite dimensional. Then  $L$  contains the semisimple and nilpotent parts in  $\mathfrak{gl}(V)$  of all its elements, and the abstract and usual Jordan decompositions in  $L$  coincide.*

*Proof.* Let  $x \in L$  be arbitrary, with Jordan decomposition  $x = x_s + x_n$  in  $\mathfrak{gl}(V)$ . We show that  $x_s, x_n \in L$ , which implies that the abstract and usual Jordan decompositions in  $L$  coincide (why?). The inclusion homomorphism  $L \hookrightarrow \mathfrak{gl}(V)$  makes  $V$  an  $L$ -module. For any  $L$ -submodule  $W$  of  $V$ , define

$$L_W := \{y \in \mathfrak{gl}(V) \mid y(W) \subset W \text{ and } \text{Tr}(y|_W) = 0\}.$$

Then  $x \in L_W$  and therefore  $x_s, x_n \in L_W$  since  $x_s$  and  $x_n$  are polynomials of  $x$  without constant terms. Let

$$N := N_{\mathfrak{gl}(V)}(L) = \{y \in \mathfrak{gl}(V), \mid [y, L] \subset L\}.$$

Similarly,  $x \in N$  implies that  $x_s, x_n \in N$ . Let  $L'$  be the intersection of  $N$  with all spaces  $L_W$ . Then  $L'$  is an  $L$ -module via adjoint action, and  $L \subset L' \subset N$ . We have  $x_s, x_n \in L'$ .

Next we show that  $L = L'$ . Weyl's theorem implies that  $L' = L \oplus M$  for an  $L$ -submodule  $M$ . Then  $[L, M] \subset [L, N] \cap M \subset L \cap M = 0$ . So every  $y \in M$  commutes with  $L$ . However, Weyl's theorem implies that  $V$  is a direct sum of irreducible  $L$ -submodules, and Schur's Lemma shows that  $y$  acts as a scalar on each irreducible  $L$ -submodule  $W$  so that  $y|_W = 0$  as  $y \in L_W$ . Therefore,  $y = 0$  and thus  $M = 0$ . We get  $x_s, x_n \in L' = L$ .  $\square$

More preservation properties of the Jordan decomposition are listed below.

**Prop 2.11.** *Let  $L$  be a semisimple Lie algebra.*

1. *Direct sum: suppose  $L = L_1 \oplus \cdots \oplus L_t$  is a direct sum of ideals. Then the abs. Jordan decomposition of  $x = \sum_{i=1}^t x^{(i)}$  ( $x^{(i)} \in L_i$ ) in  $L$  is exactly  $x = x_s + x_n$ , where  $x_s = \sum_{i=1}^t x_s^{(i)}$ ,  $x_n = \sum_{i=1}^t x_n^{(i)}$ , and  $x^{(i)} = x_s^{(i)} + x_n^{(i)}$  is the abs. Jordan decomposition of each  $x^{(i)}$  in  $L_i$ .*
2. *Homomorphism: if  $\phi : L \rightarrow L'$  is a homomorphism between semisimple Lie algebras  $L$  and  $L'$ , and  $x = x_s + x_n$  is the abs. Jordan decomposition of  $x$  in  $L$ , then  $\phi(x) = \phi(x_s) + \phi(x_n)$  is the abs. Jordan decomposition of  $\phi(x)$  in  $\phi(L)$  as well as in  $L'$ .*
3. *Representation: if  $\phi : L \rightarrow \mathfrak{gl}(V)$  is a finite dimensional representation of  $L$ , and  $x = x_s + x_n$  is the abs. Jordan decomposition of  $x$  in  $L$ , then  $\phi(x) = \phi(x_s) + \phi(x_n)$  is the Jordan decomposition of  $\phi(x)$  in  $\mathfrak{gl}(V)$ .*

*Proof.* 1. (exercise in the last section.)

2.  $\phi(L) \simeq L/\text{Ker } \phi$  where  $\text{Ker } \phi$  is an ideal of  $L$ . By complete reducibility of semisimple Lie algebras, we have  $L \simeq \text{Ker } \phi \oplus \phi(L)$ . The abs. Jordan decomposition of  $\phi(x)$  in  $\phi(L)$  is then  $\phi(x) = \phi(x_s) + \phi(x_n)$  from part 1.

Now  $\phi(L) \subset L'$ . WLOG, we may assume that  $L \subset \mathfrak{gl}(V)$  for some finite dimensional  $V$ . Then the abs. Jordan decompositions in  $\phi(L)$  and  $L'$  both coincide with the Jordan decomposition in  $\mathfrak{gl}(V)$ . Therefore,  $\phi(x) = \phi(x_s) + \phi(x_n)$  is the abs. Jordan decomposition of  $\phi(x)$  in  $L'$ .

3. It follows from part 2 and the preceding theorem.  $\square$

### 2.2.5 Reductive Lie Algebras

A Lie algebra  $L$  for which  $\text{Rad } L = Z(L)$  is called **reductive**. (Examples:  $L$  abelian,  $L$  semisimple,  $L = \mathfrak{gl}(n, F)$ .)

**Thm 2.12.** *The following are equivalent for a Lie algebra  $L$ :*

1.  $L$  is reductive.
2.  $L = Z(L) \oplus [L, L] = Z(L) \oplus L_1 \oplus \cdots \oplus L_t$  where  $[L, L]$  is semisimple, and  $L_1, \dots, L_t$  are simple ideals of  $L$ .
3.  $L$  is a completely reducible  $\text{ad } L$ -module.

*Proof.*

1  $\Rightarrow$  3: If  $L$  is reductive, then  $\text{ad } L \simeq L/Z(L) = L/\text{Rad } L$  is semisimple. Weyl Theorem implies that  $L$  is a completely reducible  $\text{ad } L$ -module induced by the inclusion homomorphism  $\text{ad } L \hookrightarrow \mathfrak{gl}(L)$ .

3  $\Rightarrow$  2: Suppose  $L$  is a completely reducible  $\text{ad } L$ -module, say  $L = \bigoplus_{i=1}^k L_i$  where each  $L_i$  is a simple  $\text{ad } L$ -submodule. For any  $L_i$ , if  $\dim L_i = 1$ , then  $\text{ad } L$  acts trivially on  $L_i$ , so that  $L_i \subset Z(L)$ ; if  $\dim L_i > 1$ , then  $L_i$  is a simple ideal of  $L$  and  $\text{ad } L$  acts faithfully on  $L_i$ , so that  $L_i = \text{ad } L(L_i) \subset [L, L]$ . Overall,  $Z(L)$  is the direct sum of those  $L_i$  with  $\dim L_i = 1$ ,  $[L, L]$  is the direct sum of those  $L_i$  with  $\dim L_i > 1$ , and  $[L, L] \simeq L/Z(L)$  is semisimple.

2  $\Rightarrow$  1: It is obvious. □

For a reductive Lie algebra  $L = Z(L) \oplus [L, L]$ , its Killing form has radical  $S = Z(L)$ , and

$$\kappa_L = \kappa_{Z(L)} \oplus \kappa_{[L, L]} = 0|_{Z(L)} \oplus \kappa_{[L, L]}.$$

The derivation algebra of a reductive  $L$  is (exercise)

$$\text{Der } L = \text{End}(Z(L)) \oplus \text{ad } L.$$