

## Chap2. Plasmon for Nano-particles

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### §2.1 plasmon of nano-particles and Neumann-Poincaré operator

#### Electric permittivity of metal

This can be described by various models. A representative one is called the Drude model [Mayer, 2007]. The motion of an free electron subject to an external field is governed by  $m_e \ddot{r}(t) + m_e \gamma \dot{r}(t) = e \vec{E}$ , (2.1.1)

where  $m_e$  is the mass,  $e$  is the charge,  $\gamma$  is the damping constant,  $\vec{E} = \vec{E}_0 e^{-i\omega t}$  is the electric field.

The displaced electron induces a dipole moment  $\mu = er$ , and the accumulated effect of all dipole moments from all electrons result in a macroscopic polarization  $P = n\mu = ner$ , where  $n$  is the number of electrons per unit volume.

Solving (2.1.1) gives  $\vec{P} = -\frac{n e^2}{m_e(\omega^2 + i\gamma\omega)} \vec{E}$ .

$$\Rightarrow \text{The electric displacement } \vec{D} = \epsilon_0 \vec{E} + \vec{P} = \epsilon_0 \left(1 - \frac{\omega_p^2}{\omega^2 + i\gamma\omega}\right) \vec{E},$$

where  $\omega_p = \sqrt{\frac{n e^2}{m_e \epsilon_0}}$  is the plasma frequency.

$$\Rightarrow \text{The relative permittivity of metal } \boxed{\epsilon_m(\omega) = 1 - \frac{\omega_p^2}{\omega^2 + i\gamma\omega}}. \quad (2.1.2)$$

Remark:  $\operatorname{Re} \epsilon_m(\omega) < 0$

#### Mathematical models for electromagnetic scattering by nano-particles

  $\frac{d \ll \text{wavelength } \lambda}{}$  Time-harmonic Maxwell's equations

$$\left\{ \begin{array}{l} \nabla \times \vec{E} = i\omega \mu_0 \vec{H} \\ \nabla \cdot \vec{B} = \rho \\ \nabla \times \vec{H} = -i\omega \epsilon \vec{E} \\ \nabla \cdot \vec{D} = 0 \end{array} \right.$$

Typically, the size of nano-particle  $d \ll$  incident wavelength  $\lambda$ . e.g.,  $d=50\text{nm}$ ,  $\lambda=10^3\text{nm}$ .

By scaling the problem s.t.  $d=O(1)$ , then the corresponding frequency  $\omega \ll 1$ .

Therefore, we may consider the quasi-static approximation of Maxwell's equations:

$$\left\{ \begin{array}{l} \nabla \times \vec{E} = 0 \\ \nabla \cdot \vec{D} = \rho \end{array} \right.$$

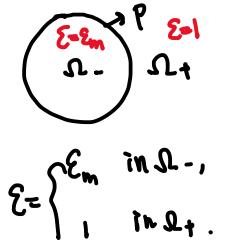
$\Rightarrow \exists$  electric potential  $u$  s.t.  $\vec{E} = -\nabla u$ . In addition  $u$  solves the Poisson equation

$$-\Delta u = \rho/\epsilon.$$

## plasmon and Neumann-Poincaré operator

consider a nano-particle placed in an static electric field  $E_0 = -\nabla f$ ,  $\epsilon f = 0$ .

Then the electric potential  $u$  satisfies



$$\begin{cases} \Delta u = 0 & \text{in } \Omega_+ \cup \Omega_- \\ [u] = 0 & \text{on } P \\ [\epsilon \frac{\partial u}{\partial n}] = 0 & \text{on } P \\ u_{\infty} - f(\omega) = O(\frac{1}{|z|}) & \text{as } |z| \rightarrow \infty \end{cases}$$

Express the solution as the single layer potential

$$u(x) = \int_P \bar{\Phi}(x, y) \varphi(y) dy + f(x), \quad x \in \Omega_+ \cup \Omega_-, \quad \varphi \in L^2(P) = \left\{ \varphi \in L^2(P), \int_P \varphi dy = 0 \right\}.$$

Taking the limit of  $\frac{\partial u}{\partial n}$  to  $P$  yields,

$$\begin{cases} \frac{\partial u_+}{\partial n} = K' \varphi - \frac{1}{2} \varphi + \frac{\partial f}{\partial n}, \\ \frac{\partial u_-}{\partial n} = K' \varphi + \frac{1}{2} \varphi + \frac{\partial f}{\partial n}. \end{cases} \quad \text{applying the condition } [\epsilon \frac{\partial u}{\partial n}] = 0 \text{ gives the integral equation}$$

$$\sum_j \frac{H\epsilon_m}{1+\epsilon_m} \varphi_j - K' \varphi = \frac{\partial f}{\partial n}, \quad \text{which is rewritten as } (\lambda - K') \varphi = \frac{\partial f}{\partial n} \quad (2.1.3)$$

$$\text{where } \lambda = \sum_j \frac{H\epsilon_m}{1+\epsilon_m}.$$

From Theorem 1.3.7,  $K'$  admits the spectral decomposition  $K' = \sum_{j=1}^{\infty} \lambda_j \langle \cdot, \varphi_j \rangle_S \varphi_j$ .

Therefore, we obtain the following theorem for the solution of the integral equation.

Theorem 2.1.1 If  $\lambda \neq \lambda_j$ , the solution of the integral equation (2.1.3) can be expressed

$$\text{as } \varphi = \sum_{j=1}^{\infty} \frac{1}{\lambda - \lambda_j} \langle \frac{\partial f}{\partial n}, \varphi_j \rangle_S \varphi_j. \quad \text{The electric potential}$$

$$u(x) = \sum_{j=1}^{\infty} \frac{1}{\lambda - \lambda_j} \langle \frac{\partial f}{\partial n}, \varphi_j \rangle_S \cdot \int_P \bar{\Phi}(x, y) \varphi_j(y) dy + f(x), \quad x \in \Omega_+ \cup \Omega_-.$$

Excitation of plasmon  $\lambda_n$  is given by (2.1.3).

Recall that  $\lambda(\omega) = \frac{1 + \epsilon_m(\omega)}{2 + \epsilon_m(\omega)}$ . Let  $T_j(\omega) = \lambda(\omega) - \lambda_j$ , if  $|T_j(\omega^*)| \ll 1$  for some  $\omega^*$ ,

we call  $\omega^*$  a plasmon frequency. From Theorem 2.1.1,  $\|\varphi\| \gg 1$  at plasmon frequency  $\omega^*$ .

Remark into the eigenvalues of Neumann-Poincaré operator

Numerical analysis of Neumann-Poincaré operator  $\Lambda_j^{\epsilon}(\frac{1}{2}, \frac{1}{2})$

(See proposition 1.3.3). If  $\operatorname{Re} \epsilon_m > 0$  and  $\epsilon_m \neq 1$ , then  $|\lambda(\omega)| > \frac{1}{2}$  and the plasmon would not be excited. While if  $\operatorname{Re} \epsilon_m < 0$ , then  $|\lambda(\omega)| < \frac{1}{2}$ , and there is possibly to excite the plasmon. Note that the latter case holds when metallic nano-particle is considered.

## plasmon for more than one particle



The electric potential  $U$  satisfies

$$\epsilon^{(m)} = \begin{cases} \epsilon_m & \text{in } \Omega_1 \cup \Omega_2, \\ 1 & \text{in } \mathbb{R}^2 \setminus (\Omega_1 \cup \Omega_2). \end{cases}$$

$$\begin{cases} \Delta U = 0 & \text{in } \mathbb{R}^2 \setminus (P_1 \cup P_2), \\ [U] = 0 & \text{on } P_1 \cup P_2, \\ [\epsilon \frac{\partial U}{\partial n}] = 0 & \text{on } P_1 \cup P_2, \\ |U(x) - f(x)| = O(\frac{1}{|x|}) & \text{as } |x| \rightarrow \infty. \end{cases}$$

By expressing the solution as  $U(x) = \int_{P_1} \bar{\Phi}(x, y) \varphi_1(y) dy + \int_{P_2} \bar{\Phi}(x, y) \varphi_2(y) dy + f(x)$ , and taking the limit of  $\nabla U \cdot \mathbf{n}$  to the boundaries  $P_1$  &  $P_2$ , it can be obtained that

$$(\lambda - \mathcal{K}') \vec{\varphi} = \begin{bmatrix} \frac{\partial f}{\partial n} \Big|_{P_1} \\ \frac{\partial f}{\partial n} \Big|_{P_2} \end{bmatrix}, \text{ where } \mathcal{K}' = \begin{bmatrix} K_{11}' & K_{12}' \\ K_{21}' & K_{22}' \end{bmatrix}, \quad \vec{\varphi} = \begin{bmatrix} \varphi_1 \\ \varphi_2 \end{bmatrix}, \text{ and}$$

$$K_{ij}' \varphi_i(x) = \int_{P_j} \frac{\partial \bar{\Phi}(x, y)}{\partial n_x} \varphi_i(y) dy, \quad x \in P_i.$$

Theorem 2.1.2 The Neumann-Poincaré operator  $\mathcal{K}'$  is self-adjoint in  $H_0^k := H_0^k(P_1) \times H_0^k(P_2)$  equipped with the inner product  $\langle \vec{\varphi}, \psi \rangle = \langle \mathcal{S} \vec{\varphi}, \vec{\psi} \rangle_{(L^2(P_1) \times L^2(P_2))}$ , where

the single layer operator

$$\mathcal{S} \vec{\varphi} = \begin{bmatrix} S_{11} \varphi_1 & S_{12} \varphi_2 \\ S_{21} \varphi_1 & S_{22} \varphi_2 \end{bmatrix},$$

$$\text{and } S_{ij} \varphi_i(x) = \int_{P_j} \bar{\Phi}(x, y) \varphi_i(y) dy, \quad x \in P_i.$$

The theorem can be found in [Ammari-Ciraolo-Kang-Lee-Milton, 2013].

Therefore,  $\mathcal{K}'$  attains a spectral decomposition, and the resolvent  $(\lambda - \mathcal{K}')$  can be obtained.